

# Reachback WSN Connectivity: Non-coherent Zero-feedback Distributed Beamforming or TDMA Energy Harvesting?

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**Abstract**—This work is motivated by the reachback connectivity scenario in resource-constrained wireless sensor networks (WSNs): a single terminal at maximum power cannot establish a reliable communication link with the intended destination. Thus, neighboring distributed transmitters should contribute their radios and transmission power, in order to achieve reliable transmission of a common message. This work is particularly interested in low-SNR scenarios with unreliable feedback channels, no channel state information (CSI) and commodity radios, where carrier phase/frequency synchronization is not possible. Concrete non-coherent maximum likelihood and energy detection receivers are developed for zero-feedback distributed beamforming. The proposed receivers are compared with non-coherent energy harvesting reception, based on simple time division multiple access (TDMA). It is shown that the proposed zero-feedback distributed beamforming receivers overcome connectivity adversities at the low-SNR regime. This is achieved by exploiting signals' alignment of  $M$  distributed transmitters (i.e., beamforming), even with commodity radios, at the expense of network (total) power consumption. Application scenarios include resource-constrained WSNs or emergency radio situations.

## I. INTRODUCTION

Wireless sensor networks (WSNs) are typically equipped with low-complexity, battery-operated radios and low-cost isotropic antennas that generate undirected and relatively weak signals. Distributed transmit beamforming (or simply distributed beamforming), i.e., cooperative transmission from two or more distributed terminals, such that the phases of the transmitted signals align and offer a constructive gain towards the intended destination receiver, has been proposed as a means to boost the power of the transmitted signal and improve connectivity in resource-constrained WSNs. Distributed beamforming could in principle offer high directivity, when the network of terminals is designed to operate as a virtual antenna array.

However, several key challenges need to be addressed. Beamforming setups utilize powerful optimization tools [1], [2] that require some type of prior knowledge, either in

the form of channel state information (CSI) or its second order statistics, in order to minimize the total transmission power and maximize the received signal-to-noise ratio (SNR). Phase alignment at the receiver depends on carrier and packet synchronization, which play crucial role in the realization of power beamforming gains [3]. However, in distributed (i.e., network) setups, synchronization is quite challenging, since each terminal has its own local oscillator and the network topology is usually unknown. Furthermore, in the case of low SNR scenarios or fast-fading environments where channel estimation often fails but packet-level synchronization is still feasible, non-coherent reception seems an ideal solution.

Several techniques for distributed beamforming have been proposed, including multi-bit (or even single-bit) closed-loop feedback between receiver and distributed transmitters, as described in [4]–[6]. Another approach includes an interference-limited spread-spectrum scheme across the distributed nodes that maintains the beamforming properties of the network [7]. Work in [8] discusses a new timing and phase synchronization method and evaluates its precision in distributed multi-user multiple input-multiple output (MU-MIMO) setups using wireless open-access research platform (WARP) radios. Phase and time synchronization between the distributed transmitters is achieved with a master-slave setup. Synchronization and signal generation are implemented in a field-programmable-gate-array (FPGA). Moreover, a master-slave architecture for carrier synchronization was investigated in [9]; it was shown that even with phase errors on the order of  $60^\circ$ , SNR gains of 70% are possible. Finally, work in [10] revisits 1-bit feedback distributed beamforming [4] and discusses a scalable synchronization architecture which is based on receiver's wireless feedback and an extended Kalman filter at the transmitters for frequency locking. A proof-of-concept implementation on commercial software-defined radios was also provided. A comprehensive review of distributed beamforming can be found in [11] and references therein. It can be safely said that most prior art on distributed beamforming requires either CSI at the distributed transmitters (e.g., [12]) or feedback (from the receiver) availability or ability to access the transmitter's radio module for carrier phase adjustments.

Furthermore, blind eigenvalue-based detectors exploiting recent random matrix theory [13]–[15] or subspace tracking methods [16], are not always an option, since a significant amount of data (e.g., a large number of transmitted symbols) and increased computational effort are required; such requirements may not be practically feasible in low-complexity,

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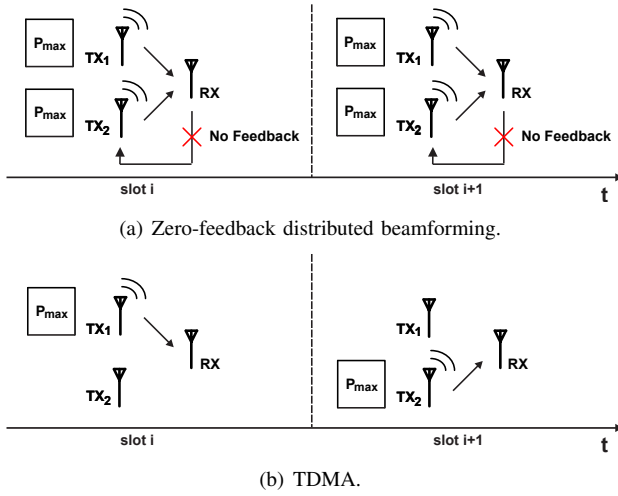


Fig. 1. Transmission schemes.

resource-constrained WSN terminals.

Finally, capacity-related results for *centralized* multiple-input multiple-output (MIMO) non-coherent reception in [17], suggest a signal structure through unitary space-time modulation (USTM) [18], [19]. However, such designs are created for centralized multi-antenna transmitters where there are no different carrier frequency offsets (CFOs) among the transmitting elements. Therefore, those structures are not directly applicable to the distributed setup, considered in this work.

In sharp contrast to prior art, this work studies distributed beamforming in a non-traditional fashion, assuming:

- no CSI availability,
- no reliable receiver-based feedback,
- no access to the physical layer for carrier phase adjustments (commodity WSN radio transmitters).

This work is motivated by network partitioning problems, where a network subset is disconnected from the rest of the network, i.e., each terminal alone cannot communicate with a distant receiver, outside its immediate neighborhood (this is also known as the reachback communication scenario). That may occur in resource-constrained WSNs or emergency radio situations, e.g., firefighters' radios that collaborate in order to transmit a common emergency information message outside a burning building.

In such cases, feedback from outside the subset may not be received reliably, while commodity radios, typically utilized in WSNs, may not offer access to the transmitted carrier phase. Work in [20], [21] showed that zero-feedback beamforming with unsynchronized carriers is possible and provided analysis results in terms of signal alignment probability, signal alignment delay and respective beamforming gains. However, no specific receivers were proposed. Zero-feedback beamforming gains will be offered if the distributed terminals can transmit packets at the same time. Such packet-level simultaneous transmission is possible with a simple protocol, where transmissions are dictated by a master (*maestro*) terminal, at the vicinity of the distributed transmitters, as experimentally shown in [22].

Inability to acquire CSI and establish a reliable feedback

channel, both impose significant constraints and offer a challenging problem, that may be initially considered unsolvable: the terminals can either employ zero-feedback distributed beamforming, where each node transmits at maximum power - in which case a concrete receiver is required - or the nodes transmit in a round-robin fashion, i.e., with time division multiple access (TDMA) (Fig. 1); in the latter case the receiver gathers signal energy from multiple, distributed transmitters (as opposed to single terminal transmission) in order to achieve reliable reception. This work particularly focuses on the low signal-to-noise ratio (SNR) regime and poses the following question: can zero-feedback distributed beamforming outperform TDMA at the low SNR regime, via constructive signal addition with commodity radios, at the expense of total power consumption?

As shown in this work, the answer is positive. Specific non-coherent maximum likelihood and energy detection receivers for the zero-feedback distributed beamforming are presented, and compared with non-coherent energy harvesting (TDMA-based) reception (Fig. 1). Analytical bit error rate (BER) results are also presented. For completeness, USTM is briefly discussed.

Section II introduces the definitions, the basic idea and briefly discusses USTM in the context of distributed transmitters. Section III presents the proposed zero-feedback distributed non-coherent receivers and their BER performance, Section IV provides the TDMA receiver and its BER performance and Section V offers the numerical results. Finally, Section VI concludes this work.

**Notation:** Upper and lower case bold symbols denote matrices and column vectors, respectively;  $\mathbf{I}_N$  denotes the  $N \times N$  identity matrix;  $0_{N \times N}$  denotes the  $N \times N$  zero matrix;  $(\cdot)^T$  denotes transpose;  $(\cdot)^*$  denotes complex conjugate;  $(\cdot)^\dagger$  denotes transpose complex conjugate;  $\text{rank}(\mathbf{A})$  denotes the rank of matrix  $\mathbf{A}$ ;  $\mathbf{x} \sim \mathcal{CN}(\boldsymbol{\mu}, \boldsymbol{\Sigma})$  denotes that random vector  $\mathbf{x}$  is complex Gaussian with mean vector  $\boldsymbol{\mu}$  and covariance matrix  $\boldsymbol{\Sigma}$ ;  $\mathbf{x} \sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Sigma})$  denotes that random vector  $\mathbf{x}$  is Gaussian with mean vector  $\boldsymbol{\mu}$  and covariance matrix  $\boldsymbol{\Sigma}$ ;  $\mathcal{G}(k, \theta)$  denotes the Gamma distribution with parameters  $k, \theta$ ;  $\text{erfc}(\cdot)$  stands for the complementary error function;  $[a/b]$  stands for the integer division operator;  $a \bmod b$  stands for the modulo operator;  $a \mid b$  stands for  $a$  divides  $b$  i.e., if  $a \mid b$  then  $b \bmod a = 0$ ;  $a \nmid b$  stands for  $a$  does not divide  $b$  ( $b \bmod a \neq 0$ ).

## II. SYSTEM MODEL AND BASIC IDEA

This work considers  $M$  distributed terminals (Fig. 2) that simultaneously transmit a *common* symbol towards a destination terminal at a given frequency band. All  $M$  terminals:

<sup>1</sup>The probability density function (p.d.f.) of a  $N$ -dimensional  $\mathbf{x}$  is given by:  $f_{\mathbf{X}}(\mathbf{x}) = \frac{1}{\pi^N \det(\boldsymbol{\Sigma})} \exp\left\{-\frac{1}{\pi} (\mathbf{x} - \boldsymbol{\mu})^\dagger \boldsymbol{\Sigma}^{-1} (\mathbf{x} - \boldsymbol{\mu})\right\}$ .

<sup>2</sup>The p.d.f. of a  $N$ -dimensional  $\mathbf{x}$  is given by:  $f_{\mathbf{X}}(\mathbf{x}) = \frac{1}{\sqrt{(2\pi)^N \det(\boldsymbol{\Sigma})}} \exp\left\{-\frac{1}{2} (\mathbf{x} - \boldsymbol{\mu})^T \boldsymbol{\Sigma}^{-1} (\mathbf{x} - \boldsymbol{\mu})\right\}$ .

<sup>3</sup>The p.d.f. is given by:  $f_X(x; k, \theta) = \frac{1}{\theta^k} \cdot \frac{1}{\Gamma(k)} \cdot x^{k-1} \cdot e^{-\frac{x}{\theta}} \cdot u(x)$ , where  $u(\cdot)$  denotes the unit step function and  $\Gamma(k) = (k-1)!$  for any positive integer.

<sup>4</sup>The error complementary function is given by:  $\text{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_x^{+\infty} e^{-t^2} dt$ .

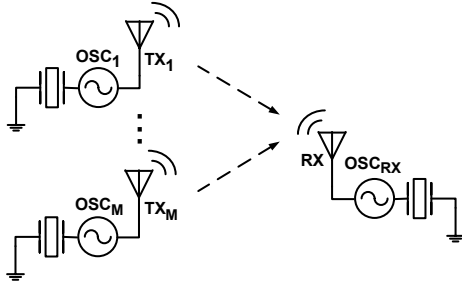


Fig. 2. System setup with  $M$  distributed transmitters.

- use on-off keying (OOK) modulation, with signal set  $\mathcal{X} = \{x_0, x_1\}$ , where  $x_0 = 0$  and  $x_1 = \sqrt{E_1}$ ;
- operate over Rayleigh, flat-fading channels  $h_m \triangleq A_m e^{j\phi_m} \sim \mathcal{CN}(0, 1)$ , independent across different  $m \in \mathcal{T} \triangleq \{1, \dots, M\}$  (with  $A_m$  real and  $\phi_m \in [0, 2\pi)$ );
- are equipped with non-ideal local oscillators, (i.e., manufacturing inaccuracies result to offsets from the nominal oscillation frequency) thus carrier frequency offsets  $\{\Delta f_m\}_{m \in \mathcal{T}}$  are introduced per transmitter-receiver link.

CFO parameters  $\{\Delta f_m\}_{m \in \mathcal{T}}$  are assumed to be independent and identically distributed (i.i.d.) random variables according to  $\mathcal{N}(0, \sigma_f^2)$ . The standard deviation  $\sigma_f$  is set to  $\sigma_f = \sqrt{\mathbb{E}[\Delta f_m^2]} = f_c \times \text{ppm}$ , where  $f_c$  denotes the nominal carrier frequency and ppm denotes the frequency skew of the clock crystals, with typical values of 1 – 20 parts per million (ppm). Finally, reception of the  $k^{\text{th}}$  information symbol at the destination occurs in the presence of additive complex white Gaussian noise (CWGN),  $w_k \sim \mathcal{CN}(0, \sigma^2)$ :

$$y_k \triangleq x_k \sum_{m=1}^M h_m e^{j2\pi\Delta f_m k T_s} + w_k = \tilde{x}_k + w_k, \quad (1)$$

where  $x_k \in \mathcal{X}$  and  $1/T_s$  is the symbol-transmission (baud) rate.

In classic beamforming setups, the transmitted signal per antenna element is multiplied by a complex shaping parameter, such that the aggregate received signal power is strong at a given direction (e.g., towards the destination) and weak towards other directions (hence the term *beamforming*). In line with the basic assumption of this work that commodity radio modules are assumed, where access to the physical-layer signal is not readily available, the model above does not include the shaping parameters at each transmit antenna. However, the beamforming effect can be achieved with commodity radio due to the constructive addition of multiple signals transmitted by distributed terminals. Specifically, this work exploits the distributed nature of the system setup and particularly the existence of different CFO parameters  $\{\Delta f_m\}_{m \in \mathcal{T}}$  per transmitter-receiver link. None of the above holds in the case of a centralized multiple-input single-output system (MISO), where all transmitting antennas share a common oscillator and  $\Delta f_m = \Delta f, \forall m \in \mathcal{T}$ .

More specifically, the idea behind zero-feedback distributed beamforming is based on *signal alignment* at the receiver and

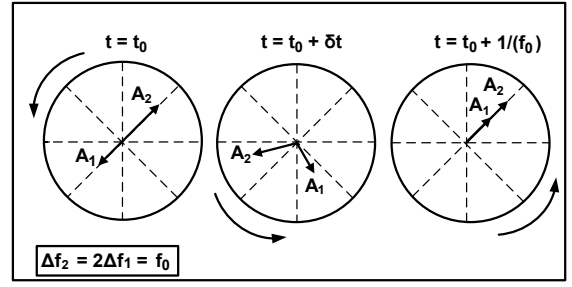


Fig. 3. Zero-feedback distributed beamforming views transmitted signals as rotating phasors with non-zero alignment probability, i.e., there are time instants where signals from distributed transmitters can constructively add.

respective power maximization. The received signal power according to Eq. (1) is given by:

$$\begin{aligned} |\tilde{x}_k|^2 &= \left| x_k \left( \sum_{m=1}^M h_m e^{j2\pi\Delta f_m k T_s} \right) \right|^2 \\ &= x_k^2 \left\{ \sum_{m=1}^M A_m^2 + \right. \\ &\quad \left. + 2 \sum_{m \neq i} A_m A_i \cos \left( 2\pi (\Delta f_m - \Delta f_i) k T_s + \phi_m - \phi_i \right) \right\}. \quad (2) \end{aligned}$$

The cosine term inside the braces is not necessarily positive, since its value depends on the pairwise CFO and channel phase differences among the different links.

Each transmitted signal  $A_m e^{j(2\pi\Delta f_m k T_s + \phi_m)}$  (see Eq. (1)) can be viewed as a phasor, with angular rotating speed proportional to the respective CFO  $\Delta f_m$ . Thus, there is a non-zero probability that all phasors (signals) align, since they rotate with different angular speeds. For example, consider  $M = 2$  distributed transmitters with carrier frequency offsets  $\Delta f_2 = 2\Delta f_1 = f_0$  and channel phase difference  $\phi_1 - \phi_2 = \pi$  at time instant  $t = t_0$ , i.e., the two signals add *destructively* at the receiver (Fig. 3). It can be easily seen that at time  $t = t_0 + 1/f_0$ , the two transmitted signals will be aligned, i.e., they will add *constructively*, offering beamforming gain, provided that the same information symbol is repetitively transmitted by both transmitters and the wireless channel fading parameters remain constant; in other words, a zero-feedback distributed setup can create an alignment event that offers beamforming gain, even with commodity radios (hence the term zero-feedback distributed beamforming).

In [20] the authors analytically calculated the alignment probability as a function of time, for  $M$  signals/phasors within a sector of angle  $\phi_0$ , discussed the expected number of symbols where alignment occurs, the required average length of repetition and studied the feasibility of such schemes. It was shown that such steady-state alignment probability depends on the repetition length and not on the clock frequency skew (in ppm) or the wireless channel's phase offsets  $\{\phi_m\}_{m \in \mathcal{T}}$ , sparking interest on research for non-coherent reception. Frequency skew (in ppm) only affects how fast steady-state alignment probability will be achieved [20]. Non-coherent reception is

ideal for low SNR scenarios or fast-fading environments where channel estimation often fails but packet-level synchronization is still feasible. This work extends zero-feedback distributed beamforming proposed in [20], by offering concrete, non-coherent receivers.

Parameter  $L$  denotes the number of transmitted symbols per block (block-length). The term “phase” used in this work describes the duration of  $L$  symbols after which a new phase begins and the fading coefficients are changed independently from the previous ones (quasi-static fading). CFO parameters are assumed random but constant, during one phase.<sup>5</sup> Finally, it is assumed that  $L \cdot \sigma_f \cdot T_s \ll 1$ , since  $L$  must be kept low (so that  $1/L$  is large, as will be further explained below), while  $\sigma_f \cdot T_s$  is significantly smaller than unity for typical values. For instance, for  $L = 3$ , crystals of 2 ppm ( $2 \times 10^{-6}$ ) and binary modulation rate of 1 Mbps at 2.4 GHz,  $L \cdot \sigma_f \cdot T_s = 0.0144 \ll 1$ . This assumption will be relaxed in the analysis and numerical results sections.

Moreover, the average SNR per  $m^{\text{th}}$  transmitter antenna per  $k^{\text{th}}$  time slot is defined as:

$$\text{SNR} \triangleq \frac{\mathbb{E}[x_k^2]}{\mathbb{E}[|w_k|^2]} = \frac{E_1}{2\sigma^2}. \quad (3)$$

It is noted that when the transmitters are allowed to simultaneously transmit different symbols, the resulting scheme corresponds to distributed space-time coding, fundamentally different than the beamforming setup of this work. Work in [19] studied the problem of non-coherent reception in classic MIMO systems with unitary space-time modulation; due to the co-located setup, different CFOs among different links were naturally not incorporated in their model. Given that the MIMO design in [18] is non-coherent, we study for completeness its MISO special case, in the context of distributed terminals, where CFO parameters  $\{\Delta f_m\}_{m \in \mathcal{T}}$  are prominent. The Rayleigh fading coefficients are assumed to be constant for  $T$  symbols and CWGN is added at the receiver. For a single receiver and  $M$  transmitting antennas, the model in [18] simplifies to a  $\tilde{\mathbf{y}}$  vector of length- $T$ , where its  $t^{\text{th}}$  element is given by

$$\tilde{y}_t = \sqrt{\frac{\rho}{M}} \sum_{m=1}^M h_m e^{+j2\pi\Delta f_m t T_s} s_{tm} + w_t, \quad (4)$$

for  $t \in \{1, \dots, T\}$ . Coefficient  $\rho$  represents the expected SNR at the receiver antenna and  $s_{tm}$  stands for the  $(t, m)^{\text{th}}$  element of the  $T \times M$  space-time matrix  $\mathbf{S}$ . The systematic design of  $\mathbf{S}$  is presented in [19].

The existence of CFOs and the distributed counterpart vastly changes the design requirements. Fig. 4 depicts BER performance of USTM, for the cases with and without CFOs; constellation of  $2^{R \times T}$  signals was assumed, with  $R = 1$  bit/symbol and  $T = 8$ . The unitary space time signals were constructed for  $M = 2$  transmitting antennas,  $K = 1$  (dimension of the block code),  $q = 257$  (arithmetic base [19, Table I]). The SNR at the single receiving antenna per time slot is  $\rho$  [19, Eq. (1)]. Without CFOs, USTM achieves

<sup>5</sup>CFO typically changes with temperature; the latter can be assumed constant for a number of transmitted bits.

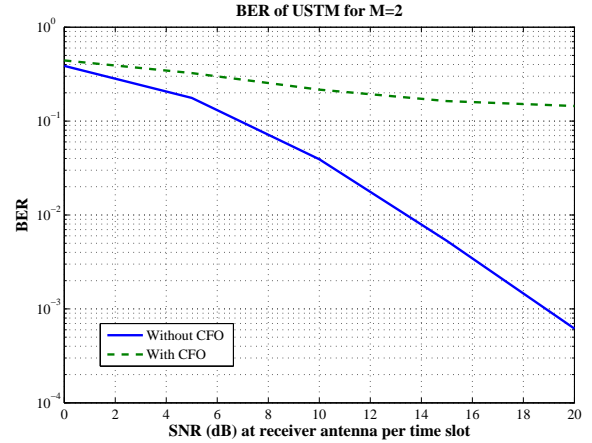


Fig. 4. Simulation BER performance using USTM for  $M = 2$ ,  $T = 8$  and  $R = 1$  bit/symbol, for the conventional, centralized (CFO-free) and distributed (CFO-limited) case (as in this work).

reduced BER, while for the distributed case (i.e., presence of  $\{\Delta f_m\}_{m \in \mathcal{T}}$ ), performance is degraded, as expected, since USTM has been designed for the centralized, CFO-free MIMO case.

Therefore, different non-coherent transmission schemes (including the USTM methodology) need to be devised for the distributed setup. From that perspective, the distributed zero-feedback beamforming receivers of this work target a newly formulated problem, which could be of potential academic and industry interest.

### III. DISTRIBUTED TRANSMIT BEAMFORMING RECEIVERS

Repetitive transmission exploits signal alignment event, as explained above. The  $M$  distributed transmitters simultaneously transmit the same information symbol for  $L$  slots, while the channel values remain unchanged (Fig. 5). The achieved rate is  $1/L$  and according to the system assumptions, the binary hypothesis test is given by:

$$\begin{aligned} H_0 : \mathbf{y} &= \mathbf{w}, \\ H_1 : \mathbf{y} &= \mathbf{g}x_1 + \mathbf{w}, \end{aligned} \quad (5)$$

where

$$\mathbf{g} \triangleq [g_1 \quad \dots \quad g_l \quad \dots \quad g_L]^T, \quad (6)$$

and

$$\mathbf{w} \triangleq [w_1 \quad \dots \quad w_l \quad \dots \quad w_L]^T. \quad (7)$$

The random variable  $g_l \triangleq \sum_{m=1}^M h_m e^{+j2\pi\Delta f_m l T_s}$ ,  $\forall l \in \{1, 2, \dots, L\}$ , is proved to be distributed according to  $\mathcal{CN}(0, M)$  (see Appendix A-Lemma 1). The noise vector elements are i.i.d. according to  $w_l \sim \mathcal{CN}(0, \sigma^2)$  for  $l \in \{1, \dots, L\}$ .

This scheme is used both in Section III-A and Section III-B for the derived detectors.

### A. Heuristic detector

The slots, where signal alignment occurs, are not a priori known. Thus, a subset of slots cannot be pre-selected for detection but instead all  $L$  symbols are taken into account, using a square-law technique:

$$\mathbf{y}^\dagger \mathbf{y} = \sum_{l=1}^L |y_l|^2. \quad (8)$$

Under  $H_0$ , the squared  $\mathcal{L}_2$  norm of  $\mathbf{y}$  is a Gamma-distributed random variable, as a sum of i.i.d. exponentials:

$$H_0 : \mathbf{y}^\dagger \mathbf{y} = \sum_{l=1}^L |w_l|^2 \triangleq w \sim \mathcal{G}(L, \sigma^2). \quad (9)$$

Under  $H_1$  and given  $\{\Delta f_m\}_{m \in \mathcal{T}}$ , the squared  $\mathcal{L}_2$  norm of  $\mathbf{y}$ , is a sum of correlated, identically Gamma-distributed random variables, i.e.,

$$H_1 | \{\Delta f_m\}_{m \in \mathcal{T}} : \mathbf{y}^\dagger \mathbf{y} = \sum_{l=1}^L |y_l|^2 = \sum_{l=1}^L \zeta_l, \quad \zeta_l \sim \mathcal{G}(1, Mx_1^2 + \sigma^2), \quad (10)$$

and  $\rho_{ij}$  is the correlation coefficient between  $\zeta_i$  and  $\zeta_j$

$$\begin{aligned} \rho_{ij} &= \frac{\text{cov}[\zeta_i, \zeta_j]}{\sqrt{\text{var}[\zeta_i]} \sqrt{\text{var}[\zeta_j]}}, \quad i \neq j, \quad i, j \in \{1, 2, \dots, L\} \\ &= \frac{x_1^4 \left\{ M + 2 \sum_{k \neq n} \cos[2\pi T_s (\Delta f_k - \Delta f_n)(i - j)] \right\}}{(Mx_1^2 + \sigma^2)^2}. \end{aligned} \quad (11)$$

The sum in the  $\rho_{ij}$  calculation above is performed over all  $\binom{M}{2}$  possible CFO pairs  $(\Delta f_k, \Delta f_n)$ , for  $k, n \in \mathcal{T}$ .

A closed form for the p.d.f. of the sum of correlated Gamma is provided in [23, Eq. 5] while in [24], is offered as a function of the  $L \times L$  matrix  $\mathbf{K}$ ,

$$\mathbf{K} = \begin{bmatrix} 1 & \sqrt{\rho_{12}} & \dots & \sqrt{\rho_{1L}} \\ \sqrt{\rho_{21}} & 1 & \dots & \sqrt{\rho_{2L}} \\ \vdots & \vdots & \ddots & \vdots \\ \sqrt{\rho_{L1}} & \sqrt{\rho_{L2}} & \dots & 1 \end{bmatrix}, \quad (12)$$

for the special case where  $\mathbf{K}$  is positive definite and  $\rho_{ij} > 0$ . In our problem,  $\mathbf{K}$  is not necessarily positive definite and  $\rho_{ij}$  may be negative. Thus, relevant analytical results in [23], [24] are not applicable in this work.

Instead, the detection threshold of the binary test is calculated with a heuristic method, taking advantage of the known statistics under  $H_0$ . The non-coherent heuristic detector is given by:

$$\mathbf{y}^\dagger \mathbf{y} = \sum_{l=1}^L |y_l|^2 \stackrel{H_1}{\geq} \theta_1(k). \quad (13)$$

In order to estimate an appropriate value for threshold  $\theta_1$ , the probability of error under  $H_0$ ,  $P(e | H_0)$ , is considered, i.e., the error of deciding that  $x_1 = \sqrt{E_1}$  was transmitted

instead of the correct  $x_0 = 0$ . The considered threshold is given by:

$$\theta_1(k) = \mathbb{E}[w] + k\sqrt{\text{var}[w]} = \sigma^2 \left[ L + k\sqrt{L} \right], \quad k > 0, \quad (14)$$

where  $k$  is a positive parameter selected through simulations, in order to minimize the probability of error and random variable  $w$  was defined in Eq. (9). An upper bound of parameter  $k$  is acquired by calculating the probability of error under  $H_0$  as follows:

$$P(e | H_0) \leq \epsilon \Leftrightarrow \frac{1}{(L-1)!} \Gamma\left(L, \frac{\theta_1(k)}{\sigma^2}\right) \leq \epsilon, \quad (15)$$

where for example  $\epsilon = 10^{-6}$  and  $\Gamma(a, z) = \Gamma(a) - \gamma(a, z) = \int_z^{+\infty} t^{a-1} e^{-t} dt$ ;  $\Re(a) > 0$ ,  $\gamma(a, z)$  is the incomplete Gamma function [25, p. 260, Eq. 6.5.2] and  $\Gamma(a)$  is the Gamma function [25, p. 255, Eq. 6.1.1]. Such  $k$  from Eq. (15) is only an *upper* bound and does not optimize the overall BER, since  $P(e | H_1)$  is not taken into account. Near-optimal  $k$  will be found through simulations, such that both  $P(e | H_1)$  and  $P(e | H_0)$  are considered.

### B. Maximum-likelihood non-coherent detector for fully-correlated equivalent channel taps

The maximum-likelihood detector derived in this paragraph is based on fully-correlated<sup>6</sup> *equivalent channel taps*<sup>7</sup>  $\{\tilde{g}_l\}_{l=1}^L = \sqrt{\frac{1}{M}} \{g_l\}_{l=1}^L$ .

*Theorem 1:* The random vector  $\mathbf{g}$  is distributed according to  $\mathcal{CN}(\mathbf{0}, \boldsymbol{\alpha} \boldsymbol{\alpha}^\dagger M)$ , where  $\boldsymbol{\alpha} = [1 \ \dots \ 1]^T$ , if  $L \cdot \sigma_f \cdot T_s \simeq 0$  (in the sense of  $e^{-2[\pi(k-l)\sigma_f T_s]^2} \simeq 1$  for  $k \neq l$ ,  $k, l \in \{1, 2, \dots, L\}$ ).

*Proof:* The random vector  $\tilde{\mathbf{g}}$  is defined as  $\tilde{\mathbf{g}} \triangleq \sqrt{\frac{1}{M}} \mathbf{g}$ , where

$$\tilde{\mathbf{g}} = [\tilde{g}_1 \ \dots \ \tilde{g}_l \ \dots \ \tilde{g}_L]^T, \quad l \in \{1, 2, \dots, L\}, \quad (16)$$

and random variable  $\tilde{g}_l = \sqrt{\frac{1}{M}} \sum_{m=1}^M h_m e^{+j2\pi \Delta f_m l T_s} \sim \mathcal{CN}(0, 1)$ . For notational convenience, random vectors

$$\mathbf{h} \triangleq [h_1 \ \dots \ h_M]^T, \quad (17)$$

and

$$\mathbf{e} \triangleq [\Delta f_1 \ \dots \ \Delta f_M]^T, \quad (18)$$

are defined. The random variables  $\{\tilde{g}_l\}_{l=1}^L$  are correlated and their  $L \times L$  covariance matrix is expressed as  $\mathbf{C} = \mathbb{E}[\tilde{\mathbf{g}} \tilde{\mathbf{g}}^\dagger]$ . The  $(k, l)$ <sup>th</sup> element of covariance matrix  $\mathbf{C}$ , for  $k, l \in$

<sup>6</sup>The elements of a vector  $\mathbf{x} = [x_1 \ x_2 \ \dots \ x_N]^T$  are fully-correlated, if the correlation coefficient  $\rho_{x_i x_j} = 1, \forall i, j \in \{1, 2, \dots, N\}$ .

<sup>7</sup>At this point and throughout this paper, the term “equivalent channel taps” will stand for  $\{\tilde{g}_l\}_{l=1}^L$ .

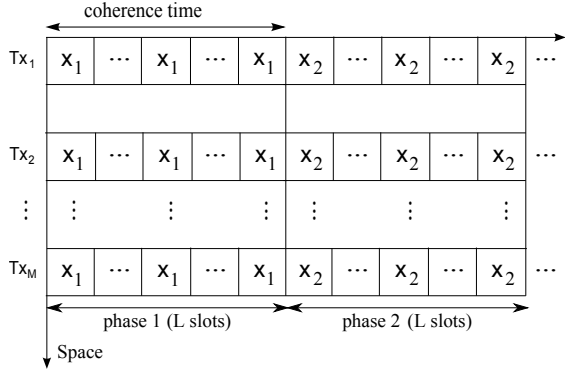


Fig. 5. Repetitive transmission scheme. The  $M$  distributed transmitters simultaneously transmit the same information symbol for  $L$  slots, while the channel parameters remain unchanged.

$\{1, \dots, L\}$ , is analytically computed as follows:

$$\begin{aligned}
\mathbb{E}_{\mathbf{h}, \mathbf{e}} [\tilde{g}_k \tilde{g}_l^*] &= \mathbb{E}_{\mathbf{h}, \mathbf{e}} \left[ \left( \sqrt{\frac{1}{M}} \sum_{m=1}^M h_m e^{+j2\pi \Delta f_m k T_s} \right) \times \right. \\
&\quad \left. \left( \sqrt{\frac{1}{M}} \sum_{n=1}^M h_n e^{+j2\pi \Delta f_n l T_s} \right)^* \right] \\
&= \frac{1}{M} \sum_{m=1}^M \mathbb{E}_{\mathbf{h}, \mathbf{e}} \left[ |h_m|^2 e^{+j2\pi \Delta f_m (k-l) T_s} \right] \\
&\stackrel{h_m, \Delta f_m \text{ indep.}}{=} \frac{1}{M} \sum_{m=1}^M \mathbb{E}_{h_m} \left[ |h_m|^2 \right] \mathbb{E}_{\Delta f_m} \left[ e^{+j2\pi \Delta f_m (k-l) T_s} \right] \\
&= \frac{1}{M \sqrt{2\pi \sigma_f^2}} \sum_{m=1}^M \int_{-\infty}^{+\infty} e^{\frac{+j4\pi \sigma_f^2 \Delta f_m (k-l) T_s - \Delta f_m^2}{2\sigma_f^2}} d\Delta f_m.
\end{aligned} \tag{19}$$

The integral above in Eq. (19) is computed according to [26, p.163, Eq. 7.7.6]:

$$\begin{aligned}
I &= \lim_{x \rightarrow -\infty} \left[ \int_x^{+\infty} e^{\frac{+j4\pi \sigma_f^2 \Delta f_m (k-l) T_s - \Delta f_m^2}{2\sigma_f^2}} d\Delta f_m \right] \\
&= \frac{1}{2} \sqrt{2\pi \sigma_f^2} e^{-2[\pi(k-l)\sigma_f T_s]^2} \times \\
&\quad \lim_{x \rightarrow -\infty} \operatorname{erfc} \left( \sqrt{\frac{1}{2\sigma_f^2}} x - j\sqrt{2}\pi(k-l)\sigma_f T_s \right) \\
&= \sqrt{2\pi \sigma_f^2} e^{-2[\pi(k-l)\sigma_f T_s]^2}.
\end{aligned} \tag{20}$$

From Eqs. (19), (20), the  $(k, l)$ <sup>th</sup> element of covariance matrix  $\mathbf{C}$  becomes:

$$\mathbb{E}_{\mathbf{h}, \mathbf{e}} [\tilde{g}_k \tilde{g}_l^*] = e^{-2[\pi(k-l)\sigma_f T_s]^2}, \tag{21}$$

and the matrix  $\mathbf{C}$  is analytically described as:

$$\begin{aligned}
\mathbf{C} &= \mathbb{E} [\tilde{\mathbf{g}} \tilde{\mathbf{g}}^\dagger] \\
&= \begin{bmatrix} 1 & \dots & e^{-2[\pi(1-L)\sigma_f T_s]^2} \\ \vdots & \ddots & \vdots \\ e^{-2[\pi(L-1)\sigma_f T_s]^2} & \dots & 1 \end{bmatrix}.
\end{aligned} \tag{22}$$

Note that the  $(k, l)$ <sup>th</sup> element of matrix  $\mathbf{C}$ ,  $e^{-2[\pi(k-l)\sigma_f T_s]^2} \simeq 1$ , for  $k \neq l$ , if the exponent  $-2[\pi(k-l)\sigma_f T_s]^2 \simeq 0$ . A sufficient condition for the above approximation is  $L \cdot \sigma_f \cdot T_s \simeq 0$ . The square included in the exponent accelerates convergence of the exponential term to unity, when the sufficient condition  $L \cdot \sigma_f \cdot T_s \simeq 0$  is satisfied. In that case, all the elements of random vector  $\tilde{\mathbf{g}}$  are fully-correlated (in the sense of  $e^{-2[\pi(k-l)\sigma_f T_s]^2} \simeq 1$  for  $k \neq l$ ,  $k, l \in \{1, 2, \dots, L\}$ ), since their correlation coefficient  $\rho_{\tilde{g}_k \tilde{g}_l} \simeq 1$ , for  $k \neq l$ . Considering this case, the random vector  $\tilde{\mathbf{g}}$  can be replaced by the random vector  $\alpha g_0 \sim \mathcal{CN}(\mathbf{0}, \alpha \alpha^\dagger)$ , where  $g_0 \sim \mathcal{CN}(0, 1)$  and  $\alpha = [1 \dots 1]^T$ . Exploiting the above, it can be directly concluded that  $\mathbf{g}$  is distributed according to  $\mathcal{CN}(\mathbf{0}, \alpha \alpha^\dagger M)$ . ■

*Corollary 1:* For the case of fully-correlated equivalent channel taps  $\{\tilde{g}_l\}_{l=1}^L$  (in the sense of  $e^{-2[\pi(k-l)\sigma_f T_s]^2} \simeq 1$  for  $k \neq l$ ,  $k, l \in \{1, 2, \dots, L\}$ ),  $\mathbf{g}$  is distributed according to  $\mathcal{CN}(\mathbf{0}, \alpha \alpha^\dagger M)$ .

In many real-world WSNs scenarios, the condition  $L \cdot \sigma_f \cdot T_s \simeq 0$  is satisfied. For instance, if  $\sigma_f = 2.4 \text{ GHz} \times 2 \text{ ppm} = 4.8 \text{ kHz}$ ,  $T_s = 1 \mu\text{s}$  (i.e., rate 1 Mbps for binary modulation) and  $L = 4$ , then  $e^{-2[\pi(k-l)\sigma_f T_s]^2} \simeq 1$ , for  $k \neq l$ . This is a frequent case, assuming high transmission rate in RF bands and a typical value of 2 ppm ( $2 \times 10^{-6}$ ) for clock crystals and small  $L$  for repetitive transmission in order to avoid rate degradation.

Using Corollary 1 and under hypothesis  $H_1$  :  $\mathbf{y} \sim \mathcal{CN}(\mathbf{0}, \alpha \alpha^\dagger M x_1^2 + \sigma^2 \mathbf{I}_L)$ , as an affine transformation of independent circularly-symmetric complex Gaussian random vectors and under  $H_0$  :  $\mathbf{y} \sim \mathcal{CN}(\mathbf{0}, \sigma^2 \mathbf{I}_L)$ . The non-coherent ML receiver, assuming equiprobable symbols, is described by the following expression:

$$f_{\mathbf{y}|H_1} \stackrel{H_1}{\geq} f_{\mathbf{y}|H_0}, \tag{23}$$

which is simplified to the following expression:

$$\mathbf{y}^\dagger \mathbf{D} \mathbf{y} \geq \theta_2 \triangleq \sigma^2 \ln \left[ \det \left( \mathbf{I}_L + \alpha \alpha^\dagger \frac{M x_1^2}{\sigma^2} \right) \right], \tag{24}$$

where  $\mathbf{D} \triangleq \mathbf{I}_L - \left( \mathbf{I}_L + \alpha \alpha^\dagger \frac{M x_1^2}{\sigma^2} \right)^{-1}$ .

It is noted that for not fully-correlated equivalent channel taps  $\{\tilde{g}_l\}_{l=1}^L$ , the p.d.f. of  $\mathbf{g}$  is not known. Given  $\{\Delta f_m\}_{m \in \mathcal{T}}$ , the random vector  $\mathbf{g}$  can be written as

$$\mathbf{g} = \mathbf{A} \mathbf{h}, \tag{25}$$

where  $\mathbf{h}$  is given from Eq. (17) and the  $L \times M$  matrix  $\mathbf{A}$  is given by:

$$\mathbf{A} = \begin{bmatrix} e^{+j2\pi\Delta f_1 T_s} & \dots & e^{+j2\pi\Delta f_M T_s} \\ \vdots & \ddots & \vdots \\ e^{+j2\pi\Delta f_1 L T_s} & \dots & e^{+j2\pi\Delta f_M L T_s} \end{bmatrix}. \quad (26)$$

Consequently, given the CFOs,  $\mathbf{g}$  is distributed according to the conditional p.d.f.  $f_{\mathbf{g}|\mathbf{A}}(\mathbf{g}|\mathbf{A}) = f_{\mathbf{g}|\{\Delta f_m\}_{m \in \mathcal{T}}}(\mathbf{g}|\{\Delta f_m\}_{m \in \mathcal{T}}) \equiv \mathcal{CN}(\mathbf{0}, \mathbf{A}\mathbf{A}^\dagger)$ , as a linear combination of a circularly-symmetric complex Gaussian vector  $\mathbf{h} \sim \mathcal{CN}(\mathbf{0}, \mathbf{I}_M)$ . However, the p.d.f of  $\mathbf{A}$  is not known, and thus, a closed form for the unconditioned p.d.f. of  $\mathbf{g}$  cannot be derived.

Therefore, for partially correlated and uncorrelated equivalent channel taps, a heuristic receiver is proposed by replacing the term  $\alpha\alpha^\dagger$  of Eq. (24) with  $\mathbf{C}$ :

$$\mathbf{y}^\dagger \mathbf{G} \mathbf{y} \stackrel{H_1}{\geq} \theta_3 \triangleq \sigma^2 \ln \left[ \det \left( \mathbf{I}_L + \mathbf{C} \frac{M x_1^2}{\sigma^2} \right) \right], \quad (27)$$

where  $\mathbf{C}$  is given by Eq. (22) and  $\mathbf{G} \triangleq \mathbf{I}_L - \left( \mathbf{I}_L + \mathbf{C} \frac{M x_1^2}{\sigma^2} \right)^{-1}$ .

#### 1) BER performance analysis:

*Theorem 2:* Assuming fully-correlated equivalent channel taps and equiprobable hypotheses, the average BER for the ML non-coherent detector is given by:

$$P(e) = \frac{1}{2} [1 - F_r(\lambda_{H_0}, \theta_2) + F_r(\lambda_{H_1}, \theta_2)], \quad (28)$$

where under hypothesis  $H_i$ ,  $i \in \{0, 1\}$ ,  $F_r(\lambda_{H_i}, \theta_2)$  is the CDF of  $\mathbf{y}^\dagger \mathbf{D} \mathbf{y}$ . Furthermore, analytical form of CDF  $F_r(\lambda_{H_i}, \theta_2)$  is given in Appendix B. Vector  $\lambda_{H_i}$  contains the eigenvalues of a  $2L \times 2L$  matrix  $(\Sigma_{H_i})^{\frac{1}{2}} \mathbf{E} (\Sigma_{H_i})^{\frac{1}{2}}$ ,  $r = \text{rank}(\mathbf{E})$ ,

$$\mathbf{E} = \begin{bmatrix} \mathbf{D} & 0_{L \times L} \\ 0_{L \times L} & \mathbf{D} \end{bmatrix}, \quad \Sigma_{H_0} = \begin{bmatrix} \frac{1}{2} \sigma^2 \mathbf{I}_L & 0_{L \times L} \\ 0_{L \times L} & \frac{1}{2} \sigma^2 \mathbf{I}_L \end{bmatrix} \text{ and}$$

$$\Sigma_{H_1} = \begin{bmatrix} \frac{1}{2} (\alpha\alpha^\dagger M x_1^2 + \sigma^2 \mathbf{I}_L) & 0_{L \times L} \\ 0_{L \times L} & \frac{1}{2} (\alpha\alpha^\dagger M x_1^2 + \sigma^2 \mathbf{I}_L) \end{bmatrix}.$$

*Proof:* Assuming equiprobable hypotheses, BER is written as:

$$P(e) = \sum_{i=0}^1 P(e | H_i) P(H_i) = \frac{1}{2} [P(\mathbf{y}^\dagger \mathbf{D} \mathbf{y} \geq \theta_2 | H_0) + P(\mathbf{y}^\dagger \mathbf{D} \mathbf{y} < \theta_2 | H_1)], \quad (29)$$

where  $P(e | H_i)$  for  $i = 0, 1$  are calculated by the CDF of  $\mathbf{y}^\dagger \mathbf{D} \mathbf{y}$  described in Appendix B-Eq. (37). ■

## IV. NON-COHERENT ENERGY HARVESTING (TDMA) RECEIVER

A time-slotted protocol among  $M$  distributed terminals is used to schedule transmission to the intended destination.  $M$  distributed terminals transmit the same symbol using time-division multiplexing for  $L$  slots (one phase). Each distributed

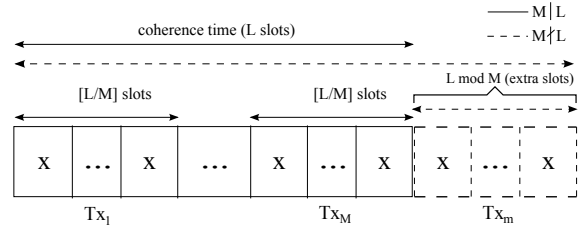


Fig. 6. Non-coherent energy harvesting (TDMA) scheme.

terminal transmits separately from the others the same symbol for  $\lfloor L/M \rfloor$  slots. In that way, the receiver augments the received energy, in order to reliably detect each information symbol at the expense of transmission rate. If  $M$  does not divide  $L$  ( $M \nmid L$ ), the remaining slots are allocated to the  $m^{\text{th}}$  terminal, that is selected randomly (uniformly) (Fig. 6). Assuming CFO correction at the receiver, the signal model is expressed as:

$$\mathbf{y} = \tilde{\mathbf{h}} \mathbf{x} + \mathbf{w}, \quad (30)$$

where  $\tilde{\mathbf{h}} = \left[ \underbrace{h_1 \dots h_1}_{\lfloor L/M \rfloor} \dots \underbrace{h_M \dots h_M}_{\lfloor L/M \rfloor} \right]^T$ , if  $M \mid L$  and  $\tilde{\mathbf{h}} = \left[ \underbrace{h_1 \dots h_1}_{\lfloor L/M \rfloor} \dots \underbrace{h_M \dots h_M}_{\lfloor L/M \rfloor} \underbrace{h_m \dots h_m}_{L \bmod M} \right]^T$ , if  $M \nmid L$ .

Finally, random variable  $h_m \sim \mathcal{CN}(0, 1)$ ,  $m \in \{1, \dots, M\}$  and random vector  $\mathbf{w} \sim \mathcal{CN}(\mathbf{0}, \mathbf{I}_L)$ .

### A. Maximum-likelihood non-coherent detector

Given the hypotheses, Eq. (30) can be written as:

$$\begin{aligned} H_0 : \mathbf{y} &= \mathbf{w}, \\ H_1 : \mathbf{y} &= \mathbf{B} \mathbf{h} x_1 + \mathbf{w}, \end{aligned} \quad (31)$$

where

$$\mathbf{B} = \left[ \begin{array}{cccc} 1 & 0 & \dots & 0 & 0 \\ & \vdots & & & \\ 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 \\ & \vdots & & & \\ 0 & 1 & \dots & 0 & 0 \\ & \vdots & & & \\ 0 & 0 & \dots & 0 & 1 \\ & \vdots & & & \\ 0 & 0 & \dots & 0 & 1 \\ & \text{extra rows} & & & \end{array} \right] \left\{ \begin{array}{l} \lfloor \frac{L}{M} \rfloor \text{ rows (1st block)} \\ \lfloor \frac{L}{M} \rfloor \text{ rows (2nd block)} \\ \lfloor \frac{L}{M} \rfloor \text{ rows (Mth block)} \\ L \bmod M \text{ rows} \end{array} \right.$$

and  $\mathbf{h} \sim \mathcal{CN}(\mathbf{0}, \mathbf{I}_M)$  according to Eq. (17).

Each block of  $\lfloor L/M \rfloor$  rows of matrix  $\mathbf{B}$  corresponds to the  $m^{\text{th}}$  user transmission. If  $M \nmid L$ , then the extra rows of matrix  $\mathbf{B}$  are selected to be the same with one of the  $\lfloor L/M \rfloor$  rows of the  $m^{\text{th}}$  user block. Thus, the extra rows

correspond to a different  $m^{\text{th}}$  user which is selected uniformly.

Under  $H_1$  :  $\mathbf{y} \sim \mathcal{CN}(\mathbf{0}, \mathbf{B}\mathbf{B}^\dagger x_1^2 + \sigma^2 \mathbf{I}_L)$  as an affine transformation of independent circularly-symmetric complex Gaussian random vectors and under  $H_0$  :  $\mathbf{y} \sim \mathcal{CN}(\mathbf{0}, \sigma^2 \mathbf{I}_L)$ . Similarly to the zero-feedback distributed beamforming scheme, by assuming equiprobable symbols, the non-coherent receiver is based on the maximum-likelihood method (see Eq. (23)) and is given by:

$$\mathbf{y}^\dagger \mathbf{R} \mathbf{y} \stackrel{H_1}{\geq} \Theta \triangleq \sigma^2 \ln \left[ \det \left( \mathbf{I}_L + \mathbf{B}\mathbf{B}^\dagger \frac{x_1^2}{\sigma^2} \right) \right], \quad (32)$$

where  $\mathbf{R} \triangleq \mathbf{I}_L - \left( \mathbf{I}_L + \mathbf{B}\mathbf{B}^\dagger \frac{x_1^2}{\sigma^2} \right)^{-1}$ .

1) *BER performance*: Using the methodology in Section III-B1, the CDF of complex quadratic form  $\mathbf{y}^\dagger \mathbf{R} \mathbf{y}$  is needed to describe the probability of error under each hypothesis. The theorem below provides BER analysis of the TDMA receiver both for the case of  $M \mid L$  and  $M \nmid L$ .

*Theorem 3*: Assuming equiprobable symbols, BER closed form both for the cases of  $M \mid L$  and  $M \nmid L$  is given by:

$$P(e) = \begin{cases} \frac{1}{2} [1 - F_r(\lambda_{H_0}, \Theta) + F_r(\lambda_{H_1}, \Theta)], & \text{if } M \mid L, \\ \frac{1}{2M} \sum_{j=1}^M \left[ 1 - F_r(\lambda_{H_0}^j, \Theta) + F_r(\lambda_{H_1}^j, \Theta) \right], & \text{if } M \nmid L, \end{cases} \quad (33)$$

where  $F_r(\cdot, \cdot)$  is, the CDF of  $\mathbf{y}^\dagger \mathbf{R} \mathbf{y}$  (given at the Appendix B).

$$\mathbf{E} = \begin{bmatrix} \mathbf{R} & 0_{L \times L} \\ 0_{L \times L} & \mathbf{R} \end{bmatrix}, \quad \Sigma_{H_0} = \begin{bmatrix} \frac{1}{2} \sigma^2 \mathbf{I}_L & 0_{L \times L} \\ 0_{L \times L} & \frac{1}{2} \sigma^2 \mathbf{I}_L \end{bmatrix} \text{ and}$$

$$\Sigma_{H_1} = \begin{bmatrix} \frac{1}{2} (\mathbf{B}\mathbf{B}^\dagger x_1^2 + \sigma^2 \mathbf{I}_L) & 0_{L \times L} \\ 0_{L \times L} & \frac{1}{2} (\mathbf{B}\mathbf{B}^\dagger x_1^2 + \sigma^2 \mathbf{I}_L) \end{bmatrix}.$$

Under hypothesis  $H_i$ ,  $i \in \{0, 1\}$ , vectors  $\lambda_{H_i}$  (case for  $M \mid L$ ) and  $\lambda_{H_i}^j$  (case for  $M \nmid L$ ) contain the eigenvalues of the  $2L \times 2L$  matrix  $(\Sigma_{H_i})^{\frac{1}{2}} \mathbf{E} (\Sigma_{H_i})^{\frac{1}{2}}$ , where for the case of  $M \mid L$ , matrix  $\mathbf{E}$  is based on  $\mathbf{R}$  constructed by  $\mathbf{B}$  without including any extra rows and for the case of  $M \nmid L$ , matrix  $\mathbf{E}$  is based on  $\mathbf{R}$  constructed by  $\mathbf{B}$  with extra rows (i.e., the  $L \bmod M$  rows of the  $j^{\text{th}}$  user block). Finally,  $r = \text{rank}(\mathbf{E})$ .

*Proof*: Considering the cases of  $M \mid L$ ,  $M \nmid L$  and assuming equiprobable symbols, the analysis follows as:

If  $M \mid L$ , BER is computed as:

$$\begin{aligned} P(e) &= \sum_{i=0}^1 P(e \mid H_i) P(H_i) = \frac{1}{2} \sum_{i=0}^1 P(e \mid H_i) \\ &= \frac{1}{2} [P(\mathbf{y}^\dagger \mathbf{R} \mathbf{y} \geq \Theta \mid H_0) + P(\mathbf{y}^\dagger \mathbf{R} \mathbf{y} < \Theta \mid H_1)] \end{aligned} \quad (34)$$

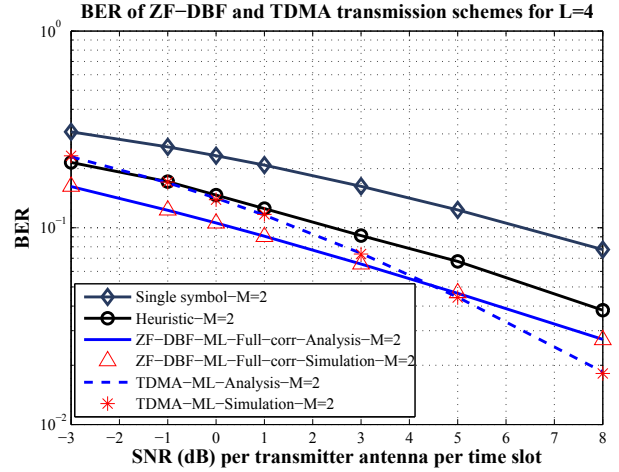


Fig. 7. BER performance for ZF-DBF and TDMA transmission schemes ( $L = 4$ ).

If  $M \nmid L$ , BER is computed as:

$$\begin{aligned} P(e) &= \frac{1}{2} \sum_{i=0}^1 P(e \mid H_i) = \frac{1}{2} \sum_{j=1}^M \sum_{i=0}^1 P(e \cap \text{Tx}_j \mid H_i) \\ &= \frac{1}{2} \sum_{j=1}^M \sum_{i=0}^1 P(e \mid \text{Tx}_j, H_i) \underbrace{P(\text{Tx}_j \mid H_i)}_{P(\text{Tx}_j)}, \end{aligned} \quad (35)$$

where  $\text{Tx}_j$  denotes the event of the  $j^{\text{th}}$  user transmission at the extra allocated slots. Since, the extra slots are allocated uniformly, the probability  $P(\text{Tx}_j)$  is set to  $P(\text{Tx}_j) = \frac{1}{M}$ . Consequently, Eq. (35) becomes:

$$\begin{aligned} P(e) &= \frac{1}{2M} \sum_{j=1}^M \sum_{i=0}^1 P(e \mid \text{Tx}_j, H_i) \\ &= \frac{1}{2M} \sum_{j=1}^M [P(e \mid \text{Tx}_j, H_0) + P(e \mid \text{Tx}_j, H_1)] \\ &= \frac{1}{2M} \sum_{j=1}^M [P(\mathbf{y}^\dagger \mathbf{R} \mathbf{y} \geq \Theta \mid \text{Tx}_j, H_0) + P(\mathbf{y}^\dagger \mathbf{R} \mathbf{y} < \Theta \mid \text{Tx}_j, H_1)]. \end{aligned} \quad (36)$$

Using the derived closed form CDF of  $\mathbf{y}^\dagger \mathbf{R} \mathbf{y}$ , as described in Appendix B-Eq. (37), under each hypothesis and given the  $j^{\text{th}}$  user transmission (implying  $\mathbf{R}$  construction with extra rows in  $\mathbf{B}$ , the  $L \bmod M$  rows of the  $j^{\text{th}}$  user block, if  $M \nmid L$  or no extra rows if  $M \mid L$ ), Eq. (34) and Eq. (36) result in Eq. (33).

Parameter  $r$  is the same for both the cases of  $M \mid L$  and  $M \nmid L$ , since for the case of  $M \nmid L$ , the addition of extra rows in matrix  $\mathbf{B}$  leaves the rank of matrix  $\mathbf{B}$  unchanged and thus the rank of matrix  $\mathbf{R}$  is also the same. ■

## V. NUMERICAL RESULTS

Both simulation and analytical BER results are presented with SNR per transmitter antenna per time slot, as defined in Eq. (3),  $f_c = 2.4$  GHz,  $T_s = 1 \mu\text{s}$  (i.e., 1 Mbps) and 2



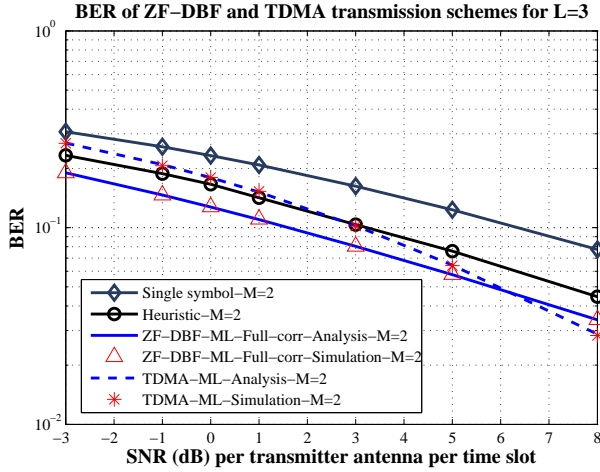


Fig. 8. BER performance for ZF-DBF and TDMA transmission schemes ( $L = 3$ ).

ppm ( $2 \times 10^{-6}$ ) clock crystals. For these values, the received samples at the destination receiver are fully correlated and exploited in the appropriate detector (Figs. 7–10). Block-length parameter  $L$  was kept relatively small (on the order of 3–4), so that rate degradation  $1/L$  was also kept small. Therefore, blind eigenvalue-based detectors are not comparable, since they require large block-length.

Fig. 7 shows BER as a function of SNR per transmitter antenna per time slot for the zero feedback distributed beamforming (ZF-DBF) and the energy harvesting (TDMA) scheme,  $M = 2$  distributed transmitters and  $L = 4$  symbols. It is shown that analysis and simulation results agree. The ZF-DBF ML receiver based on fully-correlated equivalent channel taps results in better performance than the heuristic receiver, as expected. Furthermore, the ZF-DBF ML receiver for fully-correlated equivalent channel taps outperforms the TDMA receiver for SNR values up to 5 dB. Better performance at lower SNR of ZF-DBF is due to its beamforming gain, at the expense of total additional transmission power (by a factor of  $M$  for each slot), compared to TDMA. The latter performs better at higher SNR due to the diversity offered by the  $M$  independent transmitter-receiver channels. For comparison reference purposes, BER performance for single symbol non-coherent detector (ZF-DBF ML detector of Eq. (24) for  $L = 1$ ) is also depicted.

Fig. 8 demonstrates BER simulation and analytical results for the ZF-DBF and TDMA schemes,  $M = 2$  distributed transmitters and smaller  $L$  value ( $L = 3$  symbols). For the case of ZF-DBF receivers, the expected number of symbols (out of  $L = 4$ ) with  $M = 2$  aligned signals within at most  $\phi_0 = \pi/4$  is 1, assuming that out of this sector  $\phi_0$ , the signals are not considered aligned. This implies that there is one slot on average with beamforming gain in  $L = 4$  time slots. In other words, the minimum repetitive transmission length  $L$  should be selected in order to guarantee signal alignment during at least one slot out of  $L$ . For  $L = 3$ , the expected number of symbol slots with signal alignment can be easily

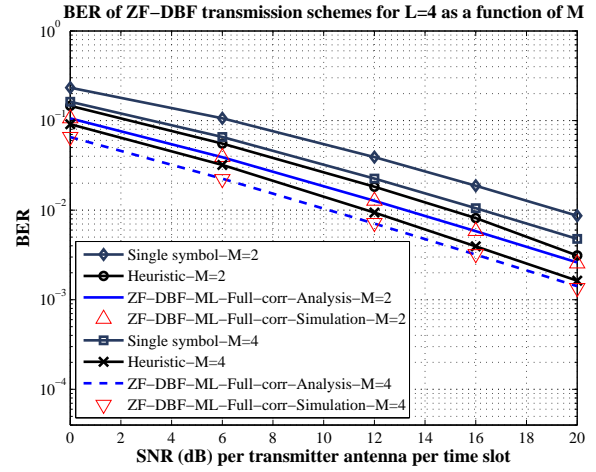


Fig. 9. BER performance for ZF-DBF transmission schemes with different number of  $M$  distributed terminals.

obtained using [20, Eq. 12] and is strictly smaller than 1. Thus, by reducing the number of slots to  $L = 3$ , the achieved rate ( $1/L$ ) is increased, however alignment is not guaranteed and BER performance is degraded, as Fig. 8 depicts. Furthermore, for  $L = 3$  the ZF-DBF receiver outperforms TDMA for SNR values smaller than 6 dB; TDMA performance is degraded by 1 dB compared to  $L = 4$ , since less slots reduce the effects of diversity. On the other hand, smaller  $L$  improves rate. Thus, for all schemes, there is a trade-off between better rate and reliable communication, with ZF-DBF offering smaller BER (and thus better reachback connectivity) at lower SNR, at the expense of total transmission power. However, in reachback connectivity scenarios, using the battery of the neighboring terminal for distributed transmission may be the only valid option.

Fig. 9 provides simulation and analytical BER results for the ZF-DBF scheme for  $L = 4$  symbols and different number of  $M$  distributed terminals. For larger values of  $M$ , signal alignment occurs with smaller probability, which decreases exponentially with  $M$  [20]; BER is reduced with increasing number of transmitters, at the expense of total transmission power; again, trading total (network) transmission power with connectivity (and respective communication reliability) is preferable in reachback connectivity scenarios; in those cases one node transmitting alone at maximum power does not suffice; instead, zero-feedback beamforming could be employed, where the unconnected distributed transmitters could contribute their radios and transmission power.

Fig. 10 depicts BER performance for the ZF-DBF scheme for a different number of symbols  $L$  and  $M = 2$  distributed terminals. It can be easily seen that as  $L$  increases, BER performance is also improved, since more transmissions of the same information symbol offers reliability, at the expense of total power consumption and rate degradation.

Fig. 11 presents BER performance for different cases of equivalent channel taps correlation. Both partially correlated equivalent channel taps with  $T_s = 1 \mu s$ , 20 ppm ( $20 \times 10^{-6}$ )

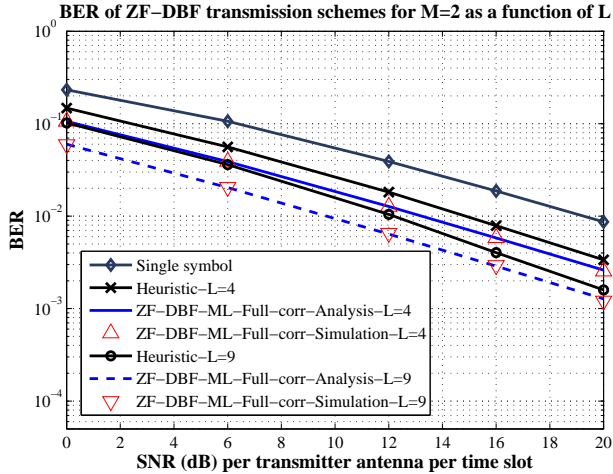


Fig. 10. BER performance for ZF-DBF transmission schemes in different  $L$  time intervals.

clock crystals and uncorrelated equivalent channel taps with  $T_s = 0.4$  ms, 2 ppm ( $2 \times 10^{-6}$ ) clock crystals are considered. The selection of these parameters results in a different covariance matrix  $\mathbf{C}$  (see Eq. (22)). Fully-correlated equivalent channel taps offer a matrix  $\mathbf{C}$  of ones, uncorrelated equivalent channel taps create a matrix  $\mathbf{C}$  equal to the identity matrix and partially correlated equivalent channel taps provide a matrix  $\mathbf{C}$  with elements valued between 0 and 1. Fig. 11 depicts the ZF-DBF detector (described in Eq. (24) and Eq. (27) respectively) for all the equivalent channel taps correlation types. Furthermore, TDMA receiver BER performance provided, is the same for all correlation cases, since it is independent of  $\{\Delta f_m\}_{m \in \mathcal{T}}$  due to coarse and fine CFO correction conducted at the receiver. Both for the heuristic and ZF-DBF receiver, partially correlated and uncorrelated equivalent channel taps offer better BER performance compared to the fully-correlated case, since instantaneous deep fading or signals destructive addition does not affect all the received samples. ZF-DBF receiver is optimal only for the case of fully-correlated equivalent channel taps, thus heuristic receiver performs better for the uncorrelated equivalent channel taps. On the other hand, it is noted that for partially correlated equivalent channel taps, ZF-DBF still dominates the latter. Finally, the heuristic receiver for the uncorrelated equivalent channel taps outperforms all the other schemes, at the low SNR regime, alleviating the reachback communication problem.

## VI. CONCLUSION

This work has presented concrete non-coherent receivers for zero-feedback distributed beamforming and compared them with non-coherent detection of a TDMA-based scheme. It was motivated by resource-constrained WSNs, where one node transmitting at maximum power cannot reliably communicate with the intended far-reaching destination, as in reachback connectivity problems. Moreover, it was shown that the proposed zero-feedback distributed beamforming receivers overcome connectivity adversities, at the low-SNR regime. This

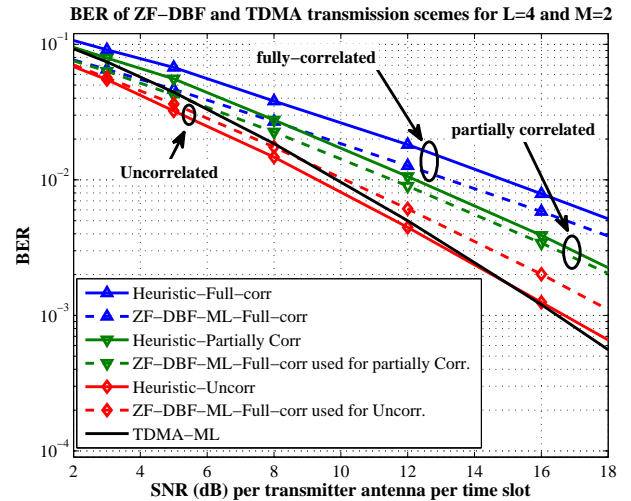


Fig. 11. BER performance for ZF-DBF and TDMA transmission schemes including different cases of equivalent channel taps correlation.

is achieved by exploiting signals' alignment of  $M$  distributed transmitters (i.e., beamforming), at the expense of network (total) power consumption. No (transmitter or receiver) CSI, no receiver feedback for carrier/phase synchronization and only commodity radio hardware were assumed, in sharp contrast to prior art. On the other hand in high SNR cases, where connectivity is not an issue and one node is used per time slot, TDMA outperforms the other schemes and ensures reliability due to multi-user diversity. Finally, a discussion of USTM schemes with and without CFO was also offered, pointing towards new research directions.

## APPENDIX A

### PDF OF THE COMPLEX RANDOM VARIABLE $g_l$

*Lemma 1:* The random variable  $g_l \triangleq \sum_{m=1}^M h_m e^{+j2\pi\Delta f_m l T_s}$ ,  $\forall l \in \{1, 2, \dots, L\}$ , is distributed according to  $\mathcal{CN}(0, M)$ .

*Proof:* Given  $\{\Delta f_m\}_{m \in \mathcal{T}}$ ,  $g_l \sim \mathcal{CN}(0, M)$  as a linear combination of circularly-symmetric complex Gaussian random variables  $\{h_m\}_{m \in \mathcal{T}} \sim \mathcal{CN}(0, 1)$ . Thus,  $f_{g_l | \{\Delta f_m\}_{m \in \mathcal{T}}}(g_l | \{\Delta f_m\}_{m \in \mathcal{T}}) \equiv \mathcal{CN}(0, M)$ , which is independent of CFOs  $\{\Delta f_m\}_{m \in \mathcal{T}}$ . By taking the expectation over  $\{\Delta f_m\}_{m \in \mathcal{T}}$ , the PDF of  $g_l$  is given by:

$$\begin{aligned} f_{g_l}(g_l) &= \mathbb{E}_{\mathbf{e}} [f_{g_l|\mathbf{e}}(g_l | \mathbf{e})] = f_{g_l|\mathbf{e}}(g_l | \mathbf{e}) \int_{-\infty}^{+\infty} f_{\mathbf{e}}(\mathbf{e}) d\mathbf{e} \\ &= f_{g_l|\mathbf{e}}(g_l | \mathbf{e}), \end{aligned}$$

where  $\mathbf{e} = [\Delta f_1 \ \dots \ \Delta f_M]^T$ .  $\blacksquare$

## APPENDIX B

### CDF OF A COMPLEX QUADRATIC FORM $\mathbf{y}^\dagger \mathbf{A} \mathbf{y}$

*Lemma 2:* Let  $\mathbf{y}^\dagger \mathbf{A} \mathbf{y}$  the complex quadratic form of  $L \times L$   $\mathbf{y}$ , where  $\mathbf{y} \sim \mathcal{CN}(\mathbf{0}, \mathbf{C})$ ,  $\mathbf{C}$  is real,  $\mathbf{A}$  is real and  $\mathbf{A} = \mathbf{A}^T$ .

Then, the CDF of  $\mathbf{y}^\dagger \mathbf{A} \mathbf{y}$  is given by:

$$F_r(\boldsymbol{\lambda}, z) = \sum_{i=0}^{+\infty} (-1)^i c_i \frac{z^{\frac{r}{2}+i}}{\Gamma(\frac{r}{2}+i+1)}, \quad (37)$$

where  $\Gamma(z) = \int_0^{+\infty} t^{z-1} e^{-t} dt$  denotes the Gamma function, vector  $\boldsymbol{\lambda} = [\lambda_1 \ \dots \ \lambda_r]^T$  contains the eigenvalues of

$$2L \times 2L \text{ matrix } \boldsymbol{\Sigma}^{\frac{1}{2}} \mathbf{E} \boldsymbol{\Sigma}^{\frac{1}{2}}, \boldsymbol{\Sigma} = \begin{bmatrix} \frac{1}{2} \mathbf{C} & 0_{L \times L} \\ 0_{L \times L} & \frac{1}{2} \mathbf{C} \end{bmatrix},$$

$$\mathbf{E} = \begin{bmatrix} \mathbf{A} & 0_{L \times L} \\ 0_{L \times L} & \mathbf{A} \end{bmatrix} \text{ and } r = \text{rank}(\mathbf{E}).$$

The coefficients  $c_i$  ( $i \geq 0$ ) can be calculated recursively through the relation:

$$c_i \triangleq \begin{cases} \prod_{j=1}^r (2\lambda_j)^{-\frac{1}{2}}, & i = 0, \\ \frac{1}{i} \sum_{j=0}^{i-1} d_{i-j} c_j, & i > 0, \end{cases} \quad (38)$$

where  $d_i$  ( $i \geq 1$ ) is expressed as follows:

$$d_i \triangleq \frac{1}{2} \sum_{j=1}^r (2\lambda_j)^{-i}, \quad i \geq 1. \quad (39)$$

*Proof:* Let a complex random vector  $\mathbf{y} \sim \mathcal{CN}(\mathbf{0}, \mathbf{C})$ . If matrix  $\mathbf{C}$  is real, then the real-valued equivalent random vector  $\tilde{\mathbf{y}}$  can be expressed as [27]:

$$\tilde{\mathbf{y}} \triangleq \begin{bmatrix} \Re\{\mathbf{y}\}^T \\ \Im\{\mathbf{y}\}^T \end{bmatrix}^T \sim \mathcal{N}(\mathbf{0}, \boldsymbol{\Sigma}), \quad (40)$$

$$\text{where the real covariance matrix } \boldsymbol{\Sigma} = \begin{bmatrix} \frac{1}{2} \mathbf{C} & 0_{L \times L} \\ 0_{L \times L} & \frac{1}{2} \mathbf{C} \end{bmatrix}.$$

Define  $\mathbf{y}_R \triangleq \Re\{\mathbf{y}\}$ ,  $\mathbf{y}_I \triangleq \Im\{\mathbf{y}\}$  and

$$\mathbf{E} \triangleq \begin{bmatrix} \mathbf{A} & 0_{L \times L} \\ 0_{L \times L} & \mathbf{A} \end{bmatrix}, \text{ then:}$$

$$\begin{aligned} \mathbf{y}^\dagger \mathbf{A} \mathbf{y} &= (\mathbf{y}_R^T - j\mathbf{y}_I^T) \mathbf{A} (\mathbf{y}_R + j\mathbf{y}_I) \\ &= \mathbf{y}_R^T \mathbf{A} \mathbf{y}_R + j\mathbf{y}_R^T \mathbf{A} \mathbf{y}_I - j\mathbf{y}_I^T \mathbf{A} \mathbf{y}_R + \mathbf{y}_I^T \mathbf{A} \mathbf{y}_I, \end{aligned}$$

$$\tilde{\mathbf{y}}^T \mathbf{E} \tilde{\mathbf{y}} = \mathbf{y}_R^T \mathbf{A} \mathbf{y}_R + \mathbf{y}_I^T \mathbf{A} \mathbf{y}_I.$$

Thus, iff  $\mathbf{A} = \mathbf{A}^T$ , then  $\mathbf{y}^\dagger \mathbf{A} \mathbf{y} = \tilde{\mathbf{y}}^T \mathbf{E} \tilde{\mathbf{y}}$ . Consequently,  $\mathbf{y}^\dagger \mathbf{A} \mathbf{y} \equiv \tilde{\mathbf{y}}^T \mathbf{E} \tilde{\mathbf{y}}$ , and using [28, Theorem 4.2b.1], we conclude in Eq. (37). ■

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